

## **Additional Information For Investors In Switzerland**

This supplement is dated 12 March 2021, forms an integral part of and should be read in conjunction with the prospectus of Ocean Dial Investment Funds ICAV (the "ICAV") dated 16<sup>th</sup> November 2020 and the First Addendum dated 4<sup>th</sup> March 2021, as amended from time to time (the "Prospectus"). Capitalised terms in this Supplement have the same meaning as those used in the Prospectus.

### **1. Representative in Switzerland**

WAYSTONE FUND SERVICES (SWITZERLAND) SA, ., 17, avenue Villamont, 1005 Lausanne, Suisse, Tél.: +41 21 311 17 77, Email : info@oligofunds.ch

### **2. Paying Agent in Switzerland:**

BANQUE CANTONALE DE GENÈVE, 17, quai de l'Île, 1204 Geneva, Switzerland, Tel.: + 41 22 317 27 27, Fax: + 41 22 317 27 37.

### **3. Location where the relevant documents may be obtained**

The Prospectus, the Key Investor Information Documents, the Articles of Association as well as the annual and semi-annual reports of the ICAV may be obtained free of charge from the Representative in Switzerland.

### **4. Publications**

4.1 Publications in respect of the ICAV shall be made in Switzerland on [www.fundinfo.com](http://www.fundinfo.com).

4.2 The issue and redemption prices, respectively the Net Asset Value of the Shares of the Sub-Fund, together with an indication "commissions excluded" will be published at each issue and redemption on [www.fundinfo.com](http://www.fundinfo.com). The prices are published every day.

### **5. Payment of retrocessions and rebates**

#### **5.1 Retrocessions**

The Company and its agents may pay retrocessions as remuneration for distribution activity in respect of fund Shares in and from Switzerland. This remuneration may be deemed payment for the following services in particular:

Sales promotions and introductions with potential clients, the organization of road shows and/or fund fairs, assistance in making applications, forwarding of subscription, conversion and redemption orders, providing investors with the Company's documents, verification of identification documents and the performance of due diligence tasks as well as keeping documentary records.

Retrocessions are not deemed to be rebates even if they are ultimately passed on, in full or in part, to the investors.

The recipients of the retrocessions must ensure transparent disclosure and inform investors, unsolicited and free of charge, about the amount of remuneration they may receive for distribution.

On request, the recipients of retrocessions must disclose the amounts they actually receive for distributing the collective investment schemes of the investors concerned.

## 5.2 Rebates

In respect of distribution in and from Switzerland, the Company and its agents do not pay any rebates directly to investors to reduce the fees or costs incurred by the investor and charges to the fund.

## **6. Place of performance and Place of jurisdiction**

In respect of the Shares distributed in and from Switzerland, the place of performance and place of jurisdiction are at the registered office of the Representative in Switzerland.

Dated: 12 March 2021